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## SAGA API Extension: Message API

#### Status of This Document

This document provides information to the grid community, proposing a standard for an extension to the Simple API for Grid Applications (SAGA). As such it depends upon the SAGA Core API Specification [2]. This document is supposed to be used as input to the definition of language specific bindings for this API extension, and as reference for implementors of these language bindings. Distribution of this document is unlimited.

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#### Abstract

This document specifies a Message API extension to the Simple API for Grid Applications (SAGA), a high level, application-oriented API for grid application development. This Message API is motivated by a number of use cases collected by the OGF SAGA Research Group in GFD.70 [3], and by requirements derived from these use cases, as specified in GFD.71 [4]). The API provides a wide set of communication pattern, and targets widely distributed, loosely coupled, heterogeneous applications.

GWD-R.94 May 31, 2010

# Contents

1	Intr	roduction		
	1.1	Notational Conventions	3	
	1.2	Security Considerations	3	
2	Req	equirements		
	2.1	Use Case derived Requirements	5	
3	SAGA Message API			
	3.1	General API Structure	10	
	3.2	Endpoint URLs	11	
	3.3	Endpoint State Model	11	
	3.4	Endpoint Properties	12	
	3.5	Message Properties	17	
	3.6	Message Memory Management	18	
	3.7	Asynchronous Notification and Connection Management	19	
	3.8	Specification	19	
	3.9	Specification Details	26	
	3.10	Examples	35	
4	Inte	llectual Property Issues	36	
	4.1	Contributors	36	
	4.2	Intellectual Property Statement	36	
	4.3	Disclaimer	37	
	4.4	Full Copyright Notice	37	
Re	References 3			

## 1 Introduction

A significant number of SAGA use cases [3] cover data visualization systems. The common communication mechanism for this set of use cases seems to be the exchange of large messages between different applications. These applications are thereby often demand driven, i.e. require asynchronous notification of incoming messages, and react on these messages independent from their origin. Also, these use cases often include some form of publish-subscriber mechanism, where a server provides data messages to any number of interested consumers.

This API extension is tailored to provide exactly this functionality, at the same time keeping coherence with the SAGA Core API Look-&-Feel, and keeping other Grid related boundary conditions (in particular middleware abstraction and authentication/authorization) in mind. The applicability of this package is, however, not at all limited to visualization use cases. Instead, the goal is to define a general purpose and easy to use API for event driven exchange of potentially large binary blobs of data.

It is important to note that this API is *not* intended to replace MPI [1]: where MPI is explicitly targeting tightly coupled parallel (as in 'distributed, but colocated, mostly SIMD') applications, the SAGA Message API targets loosely coupled (as in 'widely distributed, heterogeneous, mostly MIMD') applications, and is thus targeting a completely different set of communication patterns.

#### 1.1 Notational Conventions

In structure, notation and conventions, this documents follows those of the SAGA Core API specification [2], unless noted otherwise.

#### 1.2 Security Considerations

As the SAGA API is to be implemented on different types of Grid (and non-Grid) middleware, it does not specify a single security model, but rather provides hooks to interface to various security models – see the documentation of the saga::context class in the SAGA Core API specification [2] for details.

A SAGA implementation is considered secure if and only if it fully supports (i.e. implements) the security models of the middleware layers it builds upon, and neither provides any (intentional or unintentional) means to by-pass these security models, nor weakens these security models' policies in any way.

## 2 Requirements

The SAGA Core API specification defines a stream API package, whose purpose is to facilitate inter-process communication for distributed applications. The paradigm provided is basically that of BSD sockets: a stream\_server instance can be created to accept incoming client connections, by calling serve(). The connection themself are represented by stream instances, which can connect() to stream\_servers. The stream instances then allow to read() and write() binary data.

That scheme is very general, and universally implementable on most middlewares. Experience shows, however, that most application scenarios build additional layers on top of BSD stream like APIs. Those layers usually provide

- protocols,
- simplified bootstrapping,
- higher level communication patterns,
- message encapsulation,
- message ordering,
- message verification,
- reliability,
- · atomicity,
- error recovery,

or some subset thereof. Providing these features is non trivial and error prone, and results in large amount of duplicated application code. For that reason, most applications actually rely on third party implementations, like readily available p2p libraries, COM systems, etc. There exists, however, no commonly available infrastructure which covers multiple of the above properties, and is available for Grid environments, or other widely distributed infrastructures.

The goal of this API specification is thus to

- provide a uniform API to a wide variety of communication systems, to simplify their usage with applications;
- define a general purpose communication API which fosters the implementation and deployment of communication libraries on Grid environments;
- define communication patterns beyond MPI and P2P, the two dominant distributed message exchange systems in use today;
- do all that in the scope of the SAGA Look-&-Feel, so as to easy application integration, application portability, and seamless integration with other distributed API packages, such as security (saga::session and saga::context).

According to these goals, and in reference to the SAGA use cases [3], the SAGA Message API should provide

- 1. diverse communication patterns;
- 2. diverse channel options: reliability, ordering, verification, atomicity, ...;
- 3. message abstraction (with arbitrary sized messages);
- 4. asynchronous communication and notification; and
- 5. extremely simple application bootstrapping.

It seems obvious that no single existing communication library will be able to provide the complete scope of the SAGA API. Implementations of this API are thus encouraged, or even required, to bind against different communication libraries – but that again is a declared goal of this API specification. Also, as discussed in detail in section 2.4 of the SAGA Core API specification [2], and also in the SAGA Core Experience Document (to be published), the design of the SAGA API enables and encourages implementations with multiple backend bindings, and in particular with late bindings.

A second inspection of the enumerated list of requirements above shows that a number of requirements is immediately solved by applying the SAGA Look-&-Feel to the Message API: in particular item (3) and (4) (message abstraction, and asynchronous communication and notification) are intrinsically provided by SAGA, with saga::buffer representing messages, saga::task instance representing asynchronous operations, and saga::metric and saga::callback presenting means for asynchronous notification. We also would like to refer to the SAGA Advert API Extension (to be published), which allows for simple bootstrapping of distributed applications, and may be of use for the purposes discussed in this document, too. The advert API will, however, not be able to provide all means for bootstrapping communication patterns, and thus is not discussed in more detail here <sup>1</sup>.

#### 2.1 Use Case derived Requirements

More specific requirements come from the relatively large set of use cases within the SAGA group. In particular, those use cases allow to more specifically specify the scope of the required API properties listed above. Table 1 lists specific property examples to be covered by the Message API.

<sup>&</sup>lt;sup>1</sup>We would like to encourage both implementors and users of the Message API to check the Advert API, as it should seamlessly integrate with the Message API, and solve bootstrapping and application coordination in many communication related use cases.

Use Case	API Properties	Requirements
#2: Cyber Infrastructure	• message encapsulation	o ordered messages o large binary data
	• channel options	o secure end-to-end
#3: DIVA	• message encapsulation	<ul> <li>message encryption</li> <li>ordered messages</li> <li>async delivery</li> <li>low latency delivery</li> <li>fault tolerance</li> <li>typed messages</li> <li>large binary data</li> </ul>
	• channel options	<ul> <li>QoS negotiation</li> <li>secure end-to-end</li> <li>low latency delivery</li> <li>protocol transparency</li> </ul>
	• communication pattern	<ul><li> dynamic node migration</li><li> group bootstrapping</li></ul>
#13: RoboGrid	• channel options	$\circ$ secure end-to-end
#15: Hybrid Monte Carlo Molecular Dynamics	• message encapsulation	o async delivery typed messages
	• channel options	QoS ensurance     secure end-to-end
	• communication pattern	o dynamic node addition
#16: Collaborative Visualization	• message encapsulation	<ul> <li>message encryption</li> <li>ordered messages</li> <li>async delivery</li> <li>low latency delivery</li> <li>typed messages</li> <li>large binary data</li> </ul>
	• channel options	• QoS negotiation

Use Case requirements (cont.)

Use Case	API Properties	Requirements
		<ul><li>secure end-to-end</li><li>low latency delivery</li><li>protocol transparency</li></ul>
	• communication pattern	<ul><li> dynamic node addition</li><li> node scalability</li><li> group bootstrapping</li></ul>
#17: UCoMS Project	• message encapsulation	message encryption     low latency delivery     large binary data
	• channel options	o secure end-to-end o protocol transparency
	• communication pattern	o group bootstrapping
#18: Interactive Visualization	• message encapsulation	o ordered messages reliable delivery saync delivery low latency delivery large binary data
	• channel options	QoS negotiation     low latency delivery     protocol transparency
	• communication pattern	o group bootstrapping
#19: Interactive Image Reconstruction	• message encapsulation	<ul><li> message encryption</li><li> message signatures</li><li> typed messages</li><li> large binary data</li></ul>
	• channel options	<ul><li> QoS negotiation</li><li> secure end-to-end</li><li> protocol transparency</li></ul>
	• communication pattern	o group bootstrapping

Use Case requirements (cont.)

Use Case	API Properties	Requirements
#20: Reality Grid	• message encapsulation	o ordered messages unordered messages async delivery low latency delivery typed messages large binary data
	• channel options	<ul><li>secure end-to-end</li><li>low latency delivery</li><li>protocol transparency</li></ul>
	• communication pattern	<ul><li>o dynamic node addition</li><li>o node scalability</li><li>o group bootstrapping</li></ul>
#22: Computational Steering of Ground Water Pollution Simulations	• message encapsulation	o ordered messages o unordered messages o async delivery o low latency delivery o typed messages o large binary data
	• channel options	<ul><li>secure end-to-end</li><li>low latency delivery</li><li>protocol transparency</li></ul>
	• communication pattern	o dynamic node addition o group bootstrapping
#23: Visualization Service for the Grid	• message encapsulation	o message encryption o message signatures o ordered messages o unordered messages o async delivery o low latency delivery o typed messages o large binary data
	• channel options	o secure end-to-end o low latency delivery o protocol transparency

Use Case requirements (cont.)

Use Case	API Properties	Requirements
	• communication pattern	o dynamic node addition o group bootstrapping

Table 1: Use Case driven requirements to the Message API. Use cases are from [3].

Table 1 confirms our earlier impression that the set of requirements varies widely. While we discussed earlier that no single backend will be able to cover the whole scope of requirements, the table also suggests that no single application will make use of all features to be provided by the Message API. The expected overlap both between backend properties and application requirements is, however, so large, that it seems unwise to try to split the API package into significantly smaller units. Instead, we decided to design the API such that its components can be configured, and are inherently flexible enough, so that they are able to function well in the wide variety of use cases at hand. However, if that approach turns out to have a negative impact on simplicity and usability of the API, we will re-evaluate that design decision for the next version of this API in favor of additional semantically more specific API components.

# 3 SAGA Message API

The SAGA Message API provides a mechanism to communicate opaque messages between applications. The intent of the API package is to provide a higher level abstraction on top of the SAGA Stream API: while the exchange of opaque messages is in fact the main motivation for the SAGA Stream API, it still requires a considerable amount of user level code in order to implement this use case<sup>2</sup>. In contrast, this Message API extension guarantees that message blocks of arbitrary size are delivered completely and intact, without the need for additional application level coordination, synchronization, or protocol.

Any compliant implementation of the SAGA Message API will imply the utilization of a communication protocol – that may, in reality, limit the interoperability of implementations of this API. This document will, however, not address protocol level interoperability – other documents outside the SAGA API scope may address it separately.<sup>3</sup>

This SAGA API extension inherits the object, async and monitorable interfaces from the SAGA Core API [2]. It CAN be implemented on top of the SAGA Stream API [ibidem].

#### 3.1 General API Structure

Communication channels are not directly visible on API level, but their endpoints are represented by stateful instances of the endpoint class. That endpoint can connect to a communication channel, accept connections from a communication channel, and send, receive and test for messages on that communication channel. What exact type of channel the endpoint interfaces to is determined by:

- the URL used to open the channel; and
- the channel properties specified by the endpoint instances.

The type of channel behind the endpoint determines

- the set of connected endpoints on the channel (one or more);
- the properties of messages received on the channel.

 $<sup>^2\</sup>mathrm{Code}$  is needed to run a protocol on the base SAGA stream, and to manage messages to be sent/received.

<sup>&</sup>lt;sup>3</sup>DISCUSSION (AM): This is very similar to, say, saga::job, where we also assume a specific backend which will in practice limit interoperation: jobs submitted to one backend are unlikely to be manageable by an application binding to another backend. That is what we have URLs for, right?

The channel properties mentioned above allow the API to span the wide range of communication patterns targeted by this API. For example, those properties determine if the channel is reliable/unreliable, if message arrive ordered/unordered, verified/unverified/signed, exactly-once/at-least-once/at-most-once, etc. Obviously, some combinations of channel properties will not be implementable<sup>4</sup> (e.g. UnReliable AND ExactlyOnce), but should otherwise allow to specify the required communication characteristics.

The most important property of any communication channel is its Topology: it determines the overall communication pattern, such as the number of endpoints connected to one channel, the policy of message forwarding to multiple other endpoints, etc. The supported Topology values are 'Peer-to-Peer', 'Point-to-Point', 'Multicast', and 'Publish-Subscriber'. The value 'Any' leaves it to the API implementation to determine the suitable communication topology.<sup>5</sup>

Messages are encapsulated in instances of the message class — a derivate of saga::buffer which adds some additional inspection properties (like message id and origin). As those message instances manage pure byte buffers (see saga::buffer specification in [2]), applications may usually want to derive that class further to add structure to that byte buffer, as needed. This API specification stays, however, clear of defining data models or data formats, as that would most certainly blow the this API out of scope. Instead, domain specific data models and data formats are ensured to be easily added on application level, by deriving domain specific versions from the message class.

## 3.2 Endpoint URLs

The endpoint URLs used in the SAGA Message API follow the conventions layed out for the SAGA Stream API [2]: the URL's schema should allow the application to pick interoperable backends, but any backend MUST perform semantically exactly as specified in this document.

#### 3.3 Endpoint State Model

The state model for message endpoint instances is very simple: an endpoint gets constructed in Closed state. A successful call to serve(), serve\_once()

 $<sup>^4</sup>$ or at least will not make much sense

<sup>&</sup>lt;sup>5</sup>DISCUSSION (AM): Well, those are all we have right now, really. We should check carefully if we want to support more patterns explicitely, or if we leave the rest to implicit specification via the other properties – but then we could also consider to add properties like 'NumberOfEndpoints', 'MessageForwardingPolicy', etc, to be able to really fully specify, for example, the difference between PublishSubscriber and PeerToPeer.

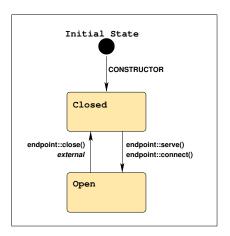


Figure 1: The SAGA Message endpoint state model

or connect() moves it into Open state, where it can send and receive messages. The endpoint stays in Open state as long as the backend is accepting connections, or is accepting and delivering messages – otherwise (e.g. if the peer disconnects on a Point-to-Point connection, or if a channel closes on a Publish-Subscriber backend), the endpoint is being moved back into the 'Closed' state. An explicit call to close() does also move the endpoint back into the Closed state.

Note that a result 'Open' for a get\_state() check on an endpoint is no guarantee that messages can be successfully transmitted: there is always a race condition of checking the state versus actually sending the message. Thus, the test(), send() and recv() operations can always throw an IncorrectState exception.  $^6$  <sup>7</sup>

#### 3.4 Endpoint Properties

As described above: the exact type of communication channel which is serving a specific endpoint instance is determined by the endpoint's URL, and by the properties set on the endpoint at creation time. As all properties of endpoint instances are specified at the creation time of that instance, they remain constant for the lifetime of an endpoint, and apply to all connections on that endpoint.

<sup>&</sup>lt;sup>6</sup>DISCUSSION (AM): Should there be versions of these calls which do not throw, but return errors? Try/Catch can be costly, and send/recv is all about performance. Also, we do that for file I/O!

<sup>&</sup>lt;sup>7</sup>DISCUSSION (AM): One could imagine additional states, such as 'Serving' or 'Dropped'. 'Serving' would then be left by the endpoint if the maximum number of clients have been accepted. But a more detailed state model gets really complicated if multiple clients can connect, or connect/disconnect/drop/reconnect.

Two endpoints which communicate with each other MUST have compatible properties <sup>8</sup> – otherwise the connection setup with connect() MUST fail. Endpoints can, however, specify the value 'Any' for the individual the properties, to leave that specific property unspecified. Once a client is connected, the endpoint attributes MUST show the actually used values for the properties, which then MUST remain constant. Those values will be used from that point on as if they had been specified by the application initially.

The individual endpoint properties and their respective values are described below.

#### 3.4.1 Connection Topology

The Message API as presented here allows for four different connection topologies: PointToPoint, Multicast, PublishSubscriber, and PeerToPeer.<sup>9</sup>

#### • Any Topology:

leave the selection of a connection topology to the adaptor. The URL schema may limit the set of applicable topologies.

#### • PointToPoint Topology:

two parties can interchange messages in both directions (both endpoints can send() and recv() messages). An PointToPoint endpoint can only have *one* remote connection at any time. All additional connection attempts via connect() MUST fail with an IncorrectState exception. All additional incoming connections on a serve() MUST be declined.

#### • Multicast Topology:

The initiating endpoint calls <code>serve()</code> – that endpoint is called 'Server'. 'Client' endpoints can <code>connect()</code> to that server. Messages sent by the Server endpoint are received by all Client endpoints. Messages sent by any Client endpoint are received <code>only</code> by the Server endpoint. At most one endpoint in that topology can act as a Server – calling <code>connect()</code> on that endpoint MUST MUST cause an 'IncorrectState' exception. The attempt to add a second Server to the topology MUST also cause an 'IncorrectState' exception.

#### • PublishSubscriber Topology:

Endpoints participating in a PublishSubscriber topology can interchange messages in both directions (all endpoints can send() and recv() messages). Messages sent by *any* endpoint are always received by *all* other endpoints connected to that channel. Note that a PublishSubscriber

<sup>&</sup>lt;sup>8</sup>DISCUSSION (AM): define 'compatible properties'! Should that be 'the same' properties'?

<sup>&</sup>lt;sup>9</sup>DISCUSSION (AM): check for more. Should that be extensible? How?

endpoints connected to some channel remain Open even if no other endpoints are subscribed (i.e. connected) to that channel.

Calling serve() on a PublishSubscriber endpoint implies the creation of a publishing channel. If close() is called on that endpoint, all other endpoints subscribed to that channel are disconnected. The PublishSubscriber topology has the same limitation as the Multicast topology: at most one endpoint can act as a server.

#### PeerToPeer Topology:

On PeerToPeer networks, connectivity is transitive. That means that, for example, if an endpoint A is connected to an endpoint B, which in turn is connected to an endpoint C, then messages from A will also arrive at C. Multiple endpoints can call serve() and connect(), in any order. PeerToPeer networks can get disconnected (in our example: if **B** fails): the backend MAY be able to continue to deliver messages from A to C and vice versa, but that is not guaranteed.

In either topology, the number of clients connecting to an applications endpoint can be limited by an integer argument to serve(). This argument is optional and defaults to -1 (unlimited). serve() can be called multiple times though, to allow additional connections. serve\_once() allows to add connections one at the time. A connect() call always implies the setup of a single connection.

#### Client Addressing:

In all topologies, senders can uniquely identify receivers on send() operations. If they do so, only that specific receiver will receive the respective message, regardless of the topology used by the endpoints (i.e. also in the Multicast, PeerToPeer and PublishSubscriber cases). A message always carries an identifier of the originating endpoint, thus messages can be answered (i.e. sent back) to the originating endpoint.

If an implementation is not able to support that feature, i.e. if it does not allow to identify individual endpoints as a message sender or receiver, any attempt to do so MUST result in an NoSuccess exception.

#### 3.4.2 Reliability

The use cases addressed by the SAGA Message API cover a variety of reliable and unreliable message transfers. The level of reliability required for the message transfer is specified by an endpoint property. It defaults to Reliable.

The available reliability levels are:

leave selection of the reliability level to the Any:

implementation.

UnReliable: messages MAY (or may not) reach the remote

clients.

Consistent: UnReliable, but if a message arrives at one client

it MUST arrive at all clients.

SemiReliable: messages MUST arrive at at least

one client.

Reliable: all messages MUST arrive at

all clients.

Note that, for PointToPoint Topology, and in fact in all cases where exactly two endpoints are interconnected, SemiReliable degenerates to Reliable, and Consistent degenerates to Unreliable.

A Reliable implementation can obviously provide all use cases. SemiReliable or Consistent implementations also cover the Unreliable use case.

Consistent and SemiReliable, and more so Reliable semantics, do often imply a significant protocol overhead, which in particular may affect message latencies. An application should carefully evaluate what reliability requirements it actually has.

#### 3.4.3 Atomicity

Many transport protocols guarantee that messages arrive exactly once. There are, however, many use cases where that is not strictly required. The Atomicity flag specifies that, and allows for more efficient policies.

The available atomicity levels are:

Any: leave selection of the atomicity level to the

implementation.

AtMostOnce: messages arrive exactly once, or not at all.

AtLeastOnce: messages are guaranteed to arrive,

but may arrive more than once.

ExactlyOnce: message arrive exactly once.

Obviously, an implementation which serves messages ExactlyOnce can serve all three use cases.

There are seemingly incompatible combinations of Reliability and Atomicity, such as for example 'UnReliable & ExactlyOnce'. Although such a property set makes not much sense semantically, it can be provided by a 'Reliable & ExactlyOnce' implementation.

AtLeastOnce, and more so ExactlyOnce semantics, do often imply a significant protocol overhead, which in particular may affect message latencies. An application should carefully evaluate what atomicity requirements it actually has.

#### 3.4.4 Correctness and Completeness

Some applications in the SAGA Message use cases are able to handle incorrect and incomplete messages (e.g. for MPEG streams). The level of correctness required for the message transfer can be specified by the Correctness property. It defaults to Verified.

The available correctness levels are:

Any: leave selection of the correctness level to the

implementation.

Unverified: no correctness nor completeness of messages

is guaranteed.

Verified: Any message that is received is guaranteed

to be correct and complete.

Signed: Any message that is received is guaranteed

to be verified and signed.

Encrypted: Any message that is received is guaranteed

to be signed and encrypted.

Signed messages are also guaranteed to be verified, but the implementation MUST additionally guarantee that the message has not changed on its way from the sender to the receiver. That us usually ensured by using a cryptographically secure message signature. The implementation MUST document what signature types are used.

Encrypted messages are also guaranteed to be signed, but the implementation MUST additionally guarantee that the message communication channel is encrypted. The implementation MUST document what encryption types are used.

Correctness and completeness is usually be provided by adding a checksum to the message, and by verifying that checksum before delivery. That procedure usually implies significant memory, compute and latency overheads. An application should careful evaluate what correctness requirements it actually has.

#### 3.4.5 Message Ordering

Many applications will be able to handle out-of-order messages without problems; other applications will require messages to arrive in order. The Ordering property allows to specify that requirement. It defaults to Ordered.

The available ordering levels are:

Any: leave selection of the ordering level to the

implementation.

Unordered: messages arrive in any order.

Ordered: messages send from one client to another client

arrive in the same order as they have been sent.

GloballyOrdered: messages send from any client to any other client

arrive in the same order as they have been sent.

In Ordered mode, the order of sent messages is only preserved locally – global ordering is not guaranteed to be preserved:

Assume three endpoints A, B and C, all connected to each other with PublishSubscriber, Reliable, ExactlyOnce, Verified, Ordered. If A sends two messages [a1, a2], in this order, it is guaranteed that both B and C receive the messages in this order [a1, a2]. If, however, A sends a message [a1] and then B sends a message [b1], C may receive the messages in either order, [a1, b1] or [b1, a1].

If GloballyOrdered, that order is preserved, which implies either a global synchronization mechanism, or exact global timestamps.

Ordering, and in particular global ordering, usually implies significant memory, compute and latency overheads. An application should careful evaluate what ordering requirements it actually has.

## 3.5 Message Properties

Messages, as instances of saga::message::message, are containers for opaque binary blobs of data. Any domain or application specific structure on the message data, i.e. any data model of data format, is out of scope for this API specification. Deriving new message classes from saga::message::message should, however, allow to trivially add support for specifically formatted messages.

This specification does not make any asumptions about message byte ordering – we consider that information to be part of the data model and data format. If byte ordering is preserved depends on the specific data model and format used,

but may also depend the specific implementation of this API implementation. Implementation SHOULD thus document any byte ordering implications.

## 3.6 Message Memory Management

The saga::message::message::message:dass is derived from the saga::buffer class of the SAGA Core API. It thus follows the semantics of the saga::buffer class, also in respect to memory management. Details can be found in Section 3.4 of the SAGA Core API specification [2]. The notes below describe additional constraints introduced by the SAGA Message API.

Sending Messages: if the message data block is larger than the specified size of the message instance, the transmitted message is truncated, and no error is returned. For application managed message buffers, the application MUST ensure that the given message size is indeed the accessible size of the given message data block, otherwise the behavior of the send() is undefined.

Receiving Messages: if the received message is larger than the size of the given message instance, the message is truncated, and no error is returned. Unless the backend is able to transparently handle that situation, e.g. by moving the remainder of the message data into a new message, there is no way to receive the remainder of the message, which is then to be discarded. For application managed message buffers, the application MUST ensure that the given message size is indeed the accessible size of the given message block – otherwise the behavior of the recv() call is undefined.

An implementation managed message instance MUST refuse to perform a set\_size() or set\_data() operation, throwing an IncorrectState exception. A message put under implementation memory management always remains under implementation memory management, and cannot be used for application level memory management anymore. Also, a message under application memory management cannot be put under implementation management later, i.e. set\_size() cannot be called with negative arguments – that would raise a BadParameter exception.

If an implementation runs out of memory while receiving a message into a implementation managed message instance, a NoSuccess exception with the error message ''insufficient memory'' MUST be thrown.

# 3.7 Asynchronous Notification and Connection Management

Event driven applications are a major use case for the SAGA Message API – asynchronous notification is thus very important for this API extension. That feature is, in general, provided via the monitoring interface defined in the SAGA Core API Specification [2].

The available metrics on the endpoint class allow to monitor the endpoint instance for connecting, disconnecting and dropping client connections, for state changes, and of course for incoming messages. All metrics will allow to identify the respective remote party by its connection URL, which will be stored in the RemoteID field of the context associated with a metric change – that context is only available when using callbacks though. Alternatively, that remote party is also identifiable via the message instance itself, which can expected for sender and receiver URL (the receiver URL will usually be the endpoint URL which received the message).

Native remote endpoint URLs are not always available – the implementation SHOULD in this case assign an internal URL for each client, to allow to identify clients uniquely. If the implementation can not reliably distinguish client endpoints (e.g. on some Peer-to-Peer or Publish-Subscriber backends), then it MUST leave the respective context attribute empty, and throw a DoesNotExist exception on the message inspection.

#### 3.8 Specification

```
}
enum reliability
 Any
                   = 0,
 UnReliable
                   = 1,
 Consistent
                   = 3,
 SemiReliable
                   = 2,
 Reliable
}
enum atomicity
{
                   = 0,
 Any
                   = 1,
 AtMostOnce
 AtLeastOnce
                   = 2,
 ExactlyOnce
                   = 3
enum correctness
                   = 0,
 Any
                   = 1,
 Unverified
 Verified
enum ordering
 Any
                   = 0,
 Unordered
                   = 1,
                   = 2,
 Ordered
 GloballyOrdered = 3
}
class message : implements
                            saga::buffer
        // from buffer saga::object
        // from object saga::error_handler
 get_sender
               (out
                     url
                            sender);
 get_id
               (out
                    string id);
 // Attributes (extensible):
 //
 // notes: - an application can attache arbitrary
 //
               attributes to a message. Those attributes
```

```
//
               MUST be handled as part of the message,
  //
                i.e. attributes set on a message to be
 //
                sent MUST also be available on the receiving
 //
               side.
  //
             - if an endpoint implementation can not
 //
                support attributes, e.g. because the
 //
                underlying protocol does not allow that
  //
                feature, all set_attribute operations MUST
               throw a 'NoSuccess' exception. This
  //
 //
                includes set_attribute("ID")
              - in either case, the two default attributes,
 //
                'ID' and 'Sender', MUST always be available
  //
               for get_attribute(), but MAY have empty
 //
               values.
 //
 //
  //
      name: ID
 //
      desc: identifying string, not unique, set by application
 //
      type: String
 //
      mode: ReadWrite
      value: ''
  //
  //
      notes: - an application can tag messages with a id
 //
                string. If not set, the attribute defaults to an
  //
                empty string.
  //
  //
      name: Sender
 //
      desc: URL identifying the sending endpoint
 //
      type: String
      mode: Read
 //
      value: ''
 //
 //
      notes: - if the endpoint backend is able to uniquely
 //
                identify the sending endpoint, this attribute
 //
               SHOULD contain an URL identifying it. That URL
 //
               SHOULD be usable to create a new endpoint instance
 //
               to communicate with the sender of the message.
interface endpoint : implements
                                  saga::object
                     implements
                                  saga::async
                     implements
                                  saga::monitorable
                 // from object saga::error_handler
{
 CONSTRUCTOR
                (in
                      session
                                    session,
                                                  = "",
                 in
                      string
                                    url
                                                  = PointToPoint,
                 in
                      int
                                    topology
                 in
                      int
                                    reliability = Reliable,
```

```
int
                                 atomicity
                                              = ExactlyOnce,
              in
                                              = Ordered,
              in
                    int
                                 ordering
                                 correctness = Verified,
              in
                    int
              out
                    endpoint
                                 obj);
DESTRUCTOR
             (in
                    endpoint
                                 obj);
// inspection methods
get_url
             (out
                                 url);
                    url
get_receivers (out
                    array<url>
                                 urls);
// management methods
serve
             (in
                                         = -1,
                  int
                                 timeout = -1.0);
              in
                    float
             (in
                    float
                                 timeout = -1.0,
serve_once
             out
                    endpoint
                                 ep);
                                          = "",
connect
             (in
                    string
                                 url
                                 timeout = -1.0);
                    float
              in
                                 receiver = "");
close
             (in
                    url
// I/O methods
send
             (in
                    message
                                 msg,
                                 receiver = "");
              in
                    url
test
             (in
                    url
                                 sender = "",
                    url
                                 receiver = "",
              in
                    float
                                 timeout = -1.0,
              in
              out int
                                 size);
             (in
                                 sender = "",
recv
                    url
                                 receiver = "",
              in
                    url
                    float
              in
                                 timeout = -1.0,
              inout message
                                 msg);
// Attributes:
//
//
           State
    name:
           endpoint state in respect to the state diagram
//
    desc:
//
    mode: ReadOnly
//
    type: Enum
//
    value: -
//
    notes: - possible values: 'Open' or 'Closed'
//
//
    name: Topology
    desc: informs about the connection topology
```

```
//
           of the endpoint
//
    mode: ReadOnly
// type: Enum
//
   value: -
//
// name: Reliability
// desc: informs about the reliability level
//
           of the endpoint
// mode: ReadOnly
//
   type: Enum
//
    value: -
//
// name: Atomicity
//
   desc: informs about the atomicity level
//
          of the endpoint
//
    mode: ReadOnly
//
    type: Enum
//
   value: -
//
//
    name: Correctness
// desc: informs about the message correctness
//
           of the endpoint
// mode: ReadOnly
//
    type: Enum
//
   value: -
//
// name: Ordering
// desc: informs about the message ordering
//
          of the endpoint
// mode: ReadOnly
//
    type: Enum
//
    value: -
//
//
// Metrics:
// name: State
//
    desc: fires if the endpoint's state changes
// mode: Read
//
    unit: 1
// type: Enum
    value: ""
//
//
    notes: - has the literal value of the endpoints
//
          state attribute
//
//
   name: Connect
    desc: fires if a remote endpoint connects
```

```
//
      mode: Read
      unit: 1
  //
 //
      type: String
 //
      value: ""
  //
      notes: - this metric can be used to perform
 //
               authorization on the connecting receivers.
 //
             - the value is the endpoint URL of the
  //
               remote party, if known.
 //
 //
      name: Closed
 //
      desc: fires if a client connection gets closed by
 //
             the remote endpoint
 //
      mode: Read
      unit: 1
 //
 //
      type: String
      value: ""
  //
      notes: - the value is the endpoint url of the
  //
               remote party, if known.
 //
 //
  //
      name: Message
 //
      desc: fires if a message arrives
 //
      mode: Read
 //
      unit: 1
 //
      type: String
      value: ""
 //
 //
      notes: - the value is the endpoint id of the
 //
               sending party, if known.
 //
             - if that metric fires, the next call to test
               MUST succeed.
 //
class endpoint_simple : implements
                                    saga::endpoint
                  // from endpoint saga::object
                  // from endpoint saga::async
                  // from endpoint saga::monitorable
                  // from object
                                    saga::error_handler
{
 CONSTRUCTOR
                (in
                      session
                                    session,
                                                 = "",
                in
                      string
                                    url
                                    topology
                in
                      int
                                                 = PointToPoint,
                                    reliability = Reliable,
                in
                      int
                in
                      int
                                    atomicity
                                                 = ExactlyOnce,
                in
                      int
                                    ordering
                                                 = Ordered,
                      int
                                    correctness = Verified,
                in
                out sender
                                    obj);
 DESTRUCTOR
               (in
                      sender
                                    obj);
```

```
}
                                        saga::endpoint
class endpoint_multicast : implements
                      // from endpoint saga::object
                      // from endpoint
                                        saga::async
                      // from endpoint
                                        saga::monitorable
                      // from object
                                        saga::error_handler
{
  CONSTRUCTOR
                (in
                       session
                                     session,
                                                  = "",
                 in
                       string
                                     url
                       int
                                     topology
                                                  = Multicast,
                 in
                 in
                       int
                                     reliability = Reliable,
                       int
                                                  = ExactlyOnce,
                 in
                                     atomicity
                       int
                                     ordering
                                                  = Ordered,
                 in
                 in
                       int
                                     correctness = Verified,
                 out
                       sender
                                     obj);
  DESTRUCTOR
                (in
                       sender
                                     obj);
}
class endpoint_pub_sub : implements
                                      saga::endpoint
                    // from endpoint saga::object
                    // from endpoint saga::async
                    // from endpoint saga::monitorable
                    // from object
                                      saga::error_handler
  CONSTRUCTOR
                (in
                       session
                                     session,
                       string
                                                  = "",
                 in
                                     url
                       int
                                                  = PublishSubscriber,
                 in
                                     topology
                                     reliability = Reliable,
                 in
                       int
                                     atomicity
                                                  = ExactlyOnce,
                 in
                       int
                 in
                       int
                                     ordering
                                                  = Ordered,
                 in
                       int
                                     correctness = Verified,
                 out
                       sender
                                     obj);
  DESTRUCTOR
                (in
                       sender
                                     obj);
  // DISCUSS additional notion of channel
  list_channels (out array<std::string> channels);
  join
                (in
                       string
                                     channel);
  leave
                (in
                       string
                                     channel);
  // I/O methods
  send
                (in
                       string
                                     channel,
                       message
                in
                                         message);
                                     channel,
                (in
                       string
  test
                 in
                       float
                                     timeout = -1.0,
```

```
out
                          int
                                         size);
                   (in
                                         channel,
    recv
                          string
                    in
                          float
                                         timeout = -1.0,
                    inout message
                                             message);
  }
  class endpoint_peer_to_peer : implements
                                               saga::endpoint
                                               saga::object
                            // from endpoint
                            // from endpoint
                                               saga::async
                            // from endpoint
                                               saga::monitorable
                            // from object
                                               saga::error_handler
  {
    CONSTRUCTOR
                   (in
                          session
                                         session,
                                                       = "",
                    in
                          string
                                         url
                    in
                          int
                                         topology
                                                       = PeerToPeer,
                    in
                          int
                                         reliability = UnReliable,
                    in
                          int
                                         atomicity
                                                      = Unknown,
                    in
                          int
                                         ordering
                                                       = UnOrdered,
                          int
                                         correctness = Verified,
                    in
                    out
                          sender
                                         obj);
    DESTRUCTOR
                   (in
                          sender
                                         obj);
  }
}
```

#### 3.9 Specification Details

#### class message

**GWD-R.94** 

The message object encapsulates a sequence of bytes to be communicated between applications. A message instance can be sent (by an endpoint calling send()), or received (by an endpoint calling recv()). A message does not belong to a session, and a message object instance can thus be used in multiple sessions, for multiple endpoints.

```
- get_sender
get_sender (out url sender);
Purpose: get the sender at which the message originated
Format: get_sender (out url sender);
Inputs: -
Outputs: sender url identifying the
```

sending party

Throws: NotImplemented

DoesNotExist

Notes: - see nodes on client identification above.

#### class endpoint

The endpoint object represents a connection endpoint for the message exchange, and can send() and recv() messages. It can be connected to other endpoints (connect()), and can be connected to by other endpoints (serve()). All other endpoints connected to the endpoint instance will receive the messages sent on that endpoint instance, unless a specific client id is given on send(). The endpoint instance will receive all messages sent by any of the other endpoints.

```
- CONSTRUCTOR
 Purpose:
            create a new endpoint object
 Format:
            CONSTRUCTOR (
              in
                    session
                              session,
                                            = "",
              in
                    string
                              url
              in
                    int
                              topology
                                            = PointToPoint,
                              reliability = Reliable,
              in
                    int
                              atomicity
                                            = ExactlyOnce,
              in
                    int
              in
                    int
                              ordering
                                            = Ordered,
              in
                    int
                              correctness = Verified,
                    endpoint obj);
              out
 Inputs:
            session:
                                  session to be used for
                                  object creation
                                   specification for
            url:
                                   connection setup (serving)
            topology:
                                   flag defining connection
                                   topology
            reliability:
                                   flag defining transfer
                                   reliability
                                   flag defining message
            ordering:
                                  ordering
            correctness
                                  flag defining message
                                   verification
 Outputs:
                                  new endpoint object
            obj:
 Throws:
            NotImplemented
            IncorrectURL
```

AuthorizationFailed

AuthenticationFailed PermissionDenied NoSuccess

PreCond: -

PostCond: - the endpoint is in 'New' state, and can now

serve client connections (see serve()), or connect to other endpoints (see connect()).

Notes:

the given URL can be used to specify the protocol, network interface, port number etc which are to be used for the serve() method.
 The URL can be empty - the implementation will then use default values. These defaults MUST be documented by the implementation.

- the URL error semantics as defined in the  ${\tt SAGA}$ 

Core API specification applies.

\_

#### - DESTRUCTOR

Purpose: Destructor for endpoint object.

Format: DESTRUCTOR (in endpoint obj)
Inputs: endpoint: object to be destroyed

Outputs: Notes: -

#### inspection methods:

\_\_\_\_\_

- get\_url

Purpose: get URL to be used to connect to this endpoint

Format: get\_url (out url url);

Format: get\_ur
Inputs: -

Outputs: url: contact URL of this

endpoint.

Throws: NotImplemented

Notes: - returns

- returns a URL which can be passed to another's endpoint constructor, or connect() method, to

set up a client connection to this endpoint.The return of a URL does not imply a guarantee that a endpoint can successfully connect with this URL (e.g. the URL may be outdated on

'Closed' endpoints).

#### - get\_receivers

Purpose: get the endpoint URLs of connected remote

endpoints

(out array<url> urls); Format: get\_receivers

Inputs:

Outputs: urls: endpoint URLs of connected

remote endpoints.

PreCond: - the sender is in 'Open' state.

Throws: NotImplemented

IncorrectState

Notes: - the method causes an 'IncorrectState'

exception if the sender instance is not in

'Open' state.

- the returned list can never be empty, as the endpoint would then not be in 'Open' state.

- if a remote endpoint does not have a URL (e.g.

if it did not yet call serve()), the returned array element is an empty string. That allows to count the connected clients.

#### management methods:

- serve

Purpose: start to serve incoming client connections Format: serve (in int n

in float timeout = -1.0);

Inputs: number of clients to n:

accept

seconds to wait timeout:

Outputs: -

Throws: IncorrectState

NoSuccess

PreCond: - the endpoint is not in 'Open' state. PostCond: - the endpoint is in 'Open' state. - a close()'ed endpoint can serve()'ed Notes:

again.

- 'n' defines the number of clients to accept. If that many clients have been accepted successfully (e.g. messages could have been sent to / received from these clients), the serve call finishes.
- in the synchronous case, the call returns whenever the requested number of client successfully connected. Note that some of these clients can have disconnected already

at that point.

- connections which get refused, e.g. due to differing endpoint property requirements, are not counted against the connection limit.
- if 'n' is set to '-1' (the default), no limit on the number accepted clients is applied. The call then blocks indefinitely.
- if the call blocked for longer that the time given in timeout, it will return irrespective of the number of connected clients.
- the timeout semantics as defined in the SAGA Core API specification applies.

- connect

Purpose: connect to another endpoint

Format: connect (in float timeout = -1.0,

in string url);

Inputs: timeout: seconds to wait

url: specification for

connection setup

Outputs: -

Throws: IncorrectState

 ${\tt IncorrectURL}$ 

AuthorizationFailed AuthenticationFailed PermissionDenied

Timeout NoSuccess

PreCond: -

PostCond: - the endpoint is in 'Open' state.

Notes: - a close()'ed endpoint can be connect()'ed

again.

 if topology, reliability level, connection topology or message ordering of the connecting and connected endpoint do not match, the method fails with a 'NoSuccess' exception,

and a descriptive error message.

- the URL error semantics as defined in the SAGA Core API specification applies.
- the timeout semantics as defined in the SAGA Core API specification applies.

- close

Purpose: disconnect from all backend channels

Format: close (void);

Inputs: timeout: seconds to wait

Outputs: PreCond:

PostCond: - the endpoint is in 'Closed' state.

NotImplemented

Timeout NoSuccess

- it is no error to call close() on a 'Closed' Notes:

endpoint.

- a close()'ed endpoint can serve() or

connect() again.

- the timeout semantics as defined in the SAGA Core API specification applies.

## I/O methods:

- send

Purpose: send a message to all connected endpoints Format: (in message send

> receiver = ""); in url

May 31, 2010

Inputs: message to send msg:

> url of client to receive receiver:

> > the message

Outputs: -

PreCond: - the endpoint is in 'Open' state.

PostCond: -

Throws: NotImplemented

IncorrectState BadParameter IncorrectURL

AuthorizationFailed AuthenticationFailed PermissionDenied

Timeout NoSuccess

Notes:

- if the endpoint is not in 'Open' state when this method is called, an 'IncorrectState'

exception is thrown.

- if a nonempty receiver URL is given, only the client identified by that URL is to receive the message - all other clients MUST

NOT receive it. If the backend cannot

guarantee that, a BadParameter exception MUST

be thrown which explains the problem.

- error reporting is non-trivial, as some message transfer may succeed for some clients, and not for others. For reliable transfers, the method MUST raise the respective exception with information about the clients the transport failed for. For unreliable transfer, the method MAY raise such an exception if the implementation deems the error condition severe enough to disrupt the communication altogether (i.e. future messages are unlikely to get through). Again, the exception must then give detailed information on the client(s) which failed.
- the implementation MUST carefully document its possible error conditions.
- if the endpoint reached the 'Open' state by calling serve(), and did not yet call connect(), no client endpoint may be connected to this endpoint instance. That does not cause an error, but the message is silently discarded.

#### - test

Purpose: test if a message is available for receive

Format: test (in url sender = "",

in float timeout = -1.0,

out int size);

Inputs: sender: url of client to check for

message from

timeout: seconds to wait

Outputs: size: size of incoming message

PreCond: - the endpoint is in 'Open' state.

PostCond: -

Throws: NotImplemented

IncorrectState
BadParameter
IncorrectURL
NoSuccess

Notes:

- if the endpoint is not in 'Open' state when this method is called, an 'IncorrectState'

exception is thrown.

- if the endpoint reached the 'Open' state by calling serve(), and did not call connect(), no client endpoint may be connected to this endpoint instance. That does not cause an

- error -- the method will wait for the specified timeout. The implementation MUST respect messages originating from connections which have been established during the timeout waiting time.
- if no message is available for recv() after the timeout, the method returns (it does not throw a 'Timeout' exception). The returned size then MUST be -1.
- if a message is available for recv(), the returned size is set to the size of the incoming messages data buffer. The size MUST be a valid value to be used to construct a new message object instance. The message for which the size was returned MUST be the message which is returned on the next initiated recv() call.
- if any (synchronous or asynchronous) recv() calls are in operation while test is called, they MUST NOT be served with the incoming message if size is returned as positive value. Instead, the next initiated recv() call get served.
- if multiple test() calls are simultaneous in operation, only one can report an incoming message.
- if a sender URL is specified, only messages from that client are to be reported by test()
   all messages from other origins MUST be ignored for the purpose of this call. The message reported in this case MUST be the one which will get derived by the next call to recv(sender) with the same value for the sender URL. If the backend cannot guarantee that, a BadParameter exception MUST be thrown which explains the problem.
- the timeout semantics as defined in the SAGA Core API specification applies.

- recv

Purpose: receive a message from remote endpoints

Format: test (in url sender = "",

in float timeout = -1.0,

inout message msg);

Inputs: sender: url of client to check for

message from

timeout: seconds to wait msg: received message

Outputs: -

InOuts:

PreCond: - the endpoint is in 'Open' state.

PostCond: -

Throws: NotImplemented

IncorrectState
BadParameter
IncorrectURL
NoSuccess

Notes:

- if the endpoint is not in 'Open' state when this method is called, an 'IncorrectState' exception is thrown.
- if the endpoint reached the 'Open' state by calling serve(), and did not call connect(), no client endpoint may be connected to this endpoint instance. That does not cause an error -- the method will wait for the specified timeout. The implementation MUST respect messages originating from connections which have been established during the timeout waiting time.
- if no message is available for recv() after the timeout, the method will throw a TimeOut exception. The application must use the test() method to avoid this.
- if a message is available for recv(), the notes to file.read from the SAGA Core API apply in respect to interpreting and managing the given buffer information.
- if multiple recv() calls are simultaneous in operation, only one can report an incoming message.
- if a sender URL is specified, only messages from that client are to be received by this method - all messages from other origins MUST be ignored for the purpose of this call. If the backend cannot guarantee that, a BadParameter exception MUST be thrown which explains the problem.
- the timeout semantics as defined in the SAGA Core API specification applies.

# 3.10 Examples

TO BE DONE

# 4 Intellectual Property Issues

## 4.1 Contributors

This document is the result of the joint efforts of many contributors, and in particular implementors. The authors listed here and on the title page are those taking responsibility for the content of the document, and all errors. The editors (underlined) are committed to taking permanent stewardship for this document and can be contacted in the future for inquiries.

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The initial version of the presented SAGA API was drafted by members of the SAGA Research Group. Members of this group did not necessarily contribute text to the document, but did contribute to its current state. Additional to the authors listed above, we acknowledge the contribution of the following people, in alphabetical order:

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